



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2126
PATENT

In re application of: Davis et al.

Attorney Docket No.: SUN1P367/P4132

Application No.: 09/333,591

Examiner: Charles E. Anya

Filed: June 14, 1999

Group: 2126

Title: WEB-BASED ENTERPRISE
MANAGEMENT WITH MULTIPLE
REPOSITORY CAPABILITY

Confirmation No.: 4277

*See
Only*

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the
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Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 28,
2005.

Signed:

Laura M. Dean
Laura M. Dean

INFORMATION DISCLOSURE STATEMENT WITH STATEMENT
UNDER 37 CFR §§1.56 AND 1.97

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding EP application. A copy of the International Search Report which was dated December 17, 2004 is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

Adjustment Date: 06/21/2005 SDIRETA1
04/12/2005 AJONES3 00000001 500388 09333591
01 FC:1806 180.00 CR

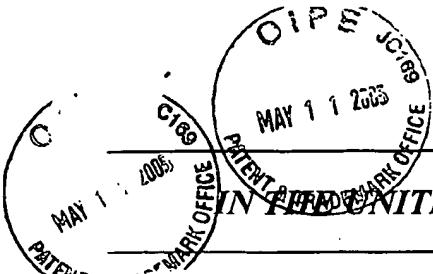
00000001 AJONES3 09333591
04/12/2005 180.00 DA
01 FC:1806 180.00 DA

Docket No.: SUN1P367

Page 1

Serial Number: 09/333,591

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UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Davis et al.

Attorney Docket No.: SUN1P367/P4132

Application No.: 09/333,591

Examiner: Charles E. Anya

Filed: June 14, 1999

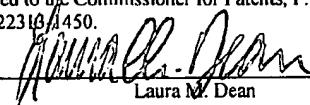
Group: 2126

Title: WEB-BASED ENTERPRISE
MANAGEMENT WITH MULTIPLE
REPOSITORY CAPABILITY

Confirmation No.: 4277

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on May 9, 2005 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22310-1450.

Signed: 

Laura M. Dean

**REQUEST FOR REFUND
(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

Mail Stop 17
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I. REFUND REQUEST

This is a request for a refund with respect to the charge to Deposit Account 500388 shown on the statement dated April 2005 (Control No. SUN1P367/P41) for the above-identified patent. A copy of the monthly statement in which the error referred to occurs, accompanies this request.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

04/12 1 09333591 SUN1P367/P41 1806 \$180.00

III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Applicants filed an Information Disclosure Statement on January 28, 2005, based on a December 14, 2004 European Search Report in the corresponding EP application 00304971.5-2211.

37 CFR §1.97(c) states:

"An information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the

information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by one of:

- (1) The statement specified in paragraph (e) of this section; or
- (2) The fee set forth in § 1.17(p)."

Since the applicant included the statement specified in paragraph (e)(1) of 37 CFR §1.97, and the application was not under final rejection (see attached PAIR printout), it is respectfully submitted that no fee was due. Applicants respectfully request a refund of \$180.00 Information Disclosure Statement fee.

IV. MANNER OF REFUND

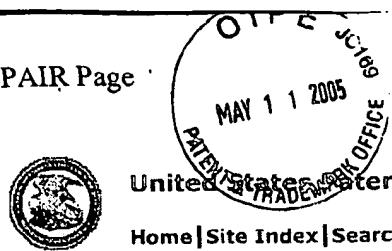
Please make refund by crediting Account No. 500388.

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP



Alan S. Hodes
Registration No.

P.O. Box 70250
Oakland, CA 94612-0250



United States Patent and Trademark Office

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Search results as of: 5-9-2005::14:52:32 E.T.

Search results for application number: 09/333,591			
Application Number:	09/333,591	Customer Number:	22434
Filing or 371(c) Date:	06-14-1999	Status:	Response to Non-Final Office Action Entered and Forwarded to Examiner
Application Type:	Utility	Status Date:	04-07-2005
Examiner Name:	ANYA, CHARLES E	Location:	ELECTRONIC
Group Art Unit:	2194	Location Date:	-
Confirmation Number:	4277	Earliest Publication No:	-
Attorney Docket Number:	P4132/SUN1P	Earliest Publication Date:	-
Class/ Sub-Class:	709/310	Patent Number:	-
First Named Inventor:	JAMES D. DAVIS, PEPPERELL, MA (US)	Issue Date of Patent:	-
Title Of Invention:	WEB-BASED ENTERPRISE MANAGEMENT WITH MULTIPLE RESPOSITORY CAPABILITY		

Search Options

- Assignments
- Display References
- Image File Wrapper
- Publication Review

File History

Date	Contents Description
01-31-2005	Information Disclosure Statement (IDS) Filed
04-07-2005	Date Forwarded to Examiner
03-08-2005	Response after Non-Final Action
03-22-2005	Case Docketed to Examiner in GAU
01-26-2005	Mail Non-Final Rejection
01-24-2005	Non-Final Rejection
11-17-2004	IFW TSS Processing by Tech Center Complete
11-17-2004	Date Forwarded to Examiner
10-12-2004	Response after Non-Final Action

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02-19-2003	Reference capture on IDS
07-16-2004	Mail Non-Final Rejection
07-15-2004	Non-Final Rejection
02-26-2004	Information Disclosure Statement (IDS) Filed
05-26-2004	Date Forwarded to Examiner
04-29-2004	Response after Non-Final Action
04-29-2004	Workflow incoming amendment IFW
04-16-2004	Mail Examiner Interview Summary (PTOL - 413)
04-08-2004	Examiner Interview Summary Record (PTOL - 413)
02-26-2004	Mail Non-Final Rejection
02-23-2004	Non-Final Rejection
12-12-2003	Date Forwarded to Examiner
12-09-2003	Response after Non-Final Action
12-09-2003	Mail Examiner Interview Summary (PTOL - 413)
12-04-2003	Examiner Interview Summary Record (PTOL - 413)
09-11-2003	Mail Non-Final Rejection
09-08-2003	Non-Final Rejection
07-01-2003	Date Forwarded to Examiner
07-01-2003	Date Forwarded to Examiner
06-24-2003	Request for Continued Examination (RCE)
07-01-2003	DISPOSAL FOR A RCE/CPA/129 (express abandonment if CPA)
06-24-2003	Workflow - Request for RCE - Begin
06-20-2003	Mail Examiner Interview Summary (PTOL - 413)
06-17-2003	Examiner Interview Summary Record (PTOL - 413)
04-24-2003	Mail Final Rejection (PTOL - 326)
04-21-2003	Final Rejection
02-19-2003	Information Disclosure Statement (IDS) Filed
02-27-2003	Date Forwarded to Examiner
02-19-2003	Response after Non-Final Action
02-19-2003	Request for Extension of Time - Granted
10-04-2002	Case Docketed to Examiner in GAU
08-15-2002	Mail Non-Final Rejection
08-12-2002	Non-Final Rejection
07-25-2002	Case Docketed to Examiner in GAU
10-06-2000	Case Docketed to Examiner in GAU
12-29-1999	Case Docketed to Examiner in GAU
10-07-1999	Application Dispatched from OIPE
10-06-1999	Application Is Now Complete
07-12-1999	Notice Mailed--Application Incomplete--Filing Date Assigned
07-06-1999	IFW Scan & PACR Auto Security Review
06-22-1999	Initial Exam Team nn

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Deposit Account Statement

Page 1 of 4

**United States
Patent and
Trademark Office**

Deposit Account Statement

Requested Statement Month: April 2005
Deposit Account Number: 500388
Name: BEYER,WEAVER & THOMAS LLP
Attention: ACCOUNTING DEPARTMENT
Address: 590 W. EL CAMINO REAL
City: MOUNTAIN VIEW
State: CA
Zip: 94040
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	3	PCT/US04/18173		9204	-\$12.00	\$23,774.00
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Deposit Account Statement

Page 4 of 4

04/27 1	10315340	KLA1P084A/P1	1201	\$600.00	\$27,274.00
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04/29 3	10674664	IGT1P024C/P247CON	1252	\$450.00	\$23,574.00
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04/29 17	PCT/US05/11641	WCJM011X1WO	1603	\$300.00	\$24,274.00
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START BALANCE		SUM OF CHARGES		SUM OF REPLENISH	END BALANCE
\$23,762.00		\$44,910.00		\$43,027.00	\$21,879.00

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